



Reconstruction of midfacial defects

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Abstract: Defects of the maxilla and midfacial structures following trauma, benign or malignant tumor ablation are a challenge for the reconstructive surgeon because of the importance of physical appearance and speech, swallowing and visual functions in which this anatomical area is involved. The bony and soft tissues constituting the midface provide much of the facial contour and profile giving each person its personal appearance. The aim of this paper is to review the methods of reconstruction after oncological resection of the middle third of the face and to present our reconstructive protocol. Reconstructive methods available to restore the defect after oncologic resection in this area can include: (I) obturators; (II) local or regional pedicled flaps; (III) microvascular flaps (forearm, rectus abdominis, fibula, iliac crest, scapula flap, etc.). Repair of a midfacial defect should be based on the size, location and type of tissues involved. It is important to understand the complex three-dimensional anatomy of the maxilla so as the interrelation to adjacent structures of the midface prior to approach the decision-making plan. Indications and disadvantages of the different techniques are reviewed. New technological developments including virtual planning, 3D printing and navigation can contribute to increase safety and accuracy in oncologic resection and reconstruction of the midface.

Keywords: Midface; reconstruction; free flaps; computer aided design

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Introduction: surgical anatomy

Defects of the maxilla and midfacial structures following trauma or oncological ablation are a challenge for the reconstructive surgeon because of the importance of physical appearance and speech, deglutition and visual functions in which this anatomical area is involved (1-3).

The concept of the maxilla as a box compound by six walls has been described by many authors (1,4). The roof of the box is the floor of the orbit, giving support to the orbital structures being the most important the ocular globe; the base of this box is the alveolar ridge and the palate. The medial wall is the lateral aspect of the nose and nasolacrimal duct and the anterior wall corresponds to the skin and the

underlying soft tissues. The superior wall (orbital floor), the inferior (palatal) and anterior walls (mimic musculature, skin and soft tissues) must be reestablished in order to retrieve the function and the aesthetics lost after tumor ablation. The importance lies in the function that these anatomical landmarks have:

- ❖ Bone replacement must be addressed to maintain position of the orbital globe.
- ❖ Maintenance of facial contour and projection.
- ❖ Bone stock for osseointegrated implants and posterior dental prosthesis rehabilitation.
- ❖ Closure of palatal defects to avoid oronasal and oroantral symptoms and ensure correct speech and air flow within the nose.

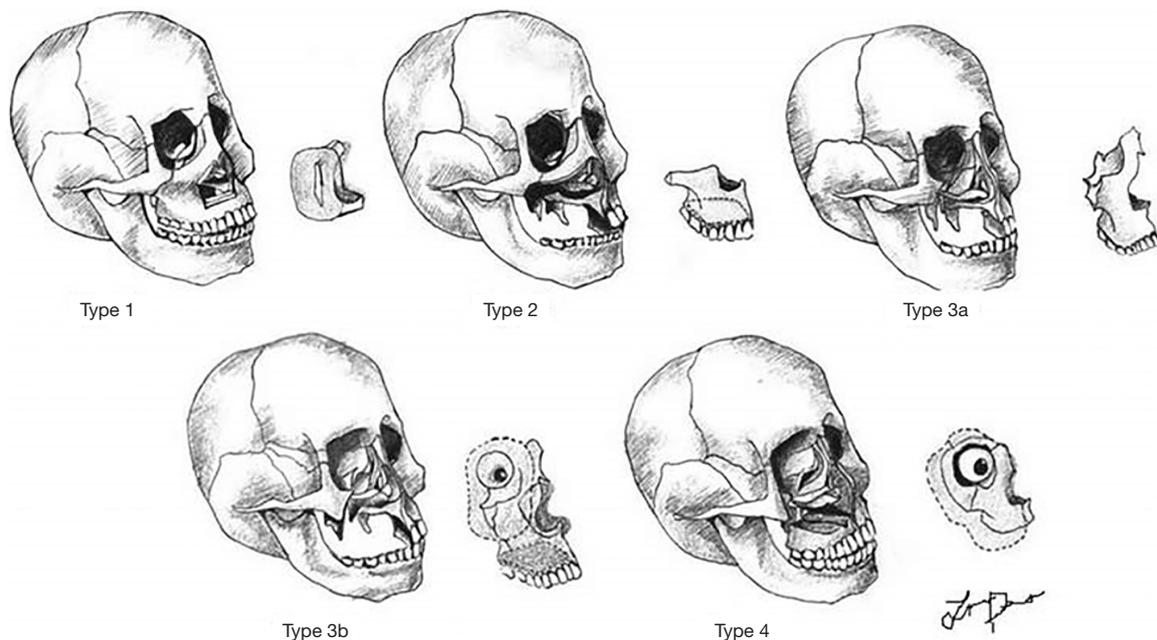


Figure 1 Classification system for maxillectomy and midfacial defects with corresponding tissue defect according to Cordeiro and Santamaria (1).

Repair of a midfacial defect should be based on the size, location and type of tissues involved. It is important to understand the complex three-dimensional anatomy of the maxilla so as the interrelation to adjacent structures of the midface prior to approach the decision-making plan.

Classification

There is not a systematic approach established when reconstructing complex maxilla or midfacial defects. Many classifications have been described during the last years to guide the reconstruction of the midface after surgical ablation, taking into account diverse aspects like prosthodontic, anatomical and surgical techniques. Many authors aimed to classify midface defects but there is no consensus yet on which one is the best to use.

Initially, prosthetic-based approaches were the standard. Defects were based on anatomical characteristics without focusing on reconstruction options. The continuous evolution of surgical-based reconstructions with the introduction of microvascular surgery had set a paradigm shift that forced to include new parameters in the continuous changing field of midface reconstruction. Better understanding of flap anatomy, inset and geometry, and the increased survival rate of free flaps contributed to the fact

that this reconstruction option is considered nowadays as the technique of choice for repairing midface defects (5,6).

Quality of life (QoL) questionnaires and comparative studies comparing different reconstructive options show evidence of better psychosocial and functional outcomes in patients that undergo free flap surgery than those with prosthesis-based rehabilitation (7).

Recent literature has become more focused on individualized techniques for specific defects. Most of the papers focus on bony reconstruction with no emphasis on volumetric issues or specific structures that need to be replaced. The following classification approaches group midfacial defects according to tissue loss and potential rehabilitative options. Each one has its own advantages and disadvantages, with some being less complete and others being complete but not offering specific guidance in terms of reconstructive technique (7).

Cordeiro and Santamaria classified maxillary defects in four groups depending on the lost maxillary borders [type I, limited maxillectomy; type II, subtotal maxillectomy preserving orbital floor; type III, total maxillectomy including six walls, (IIIa) orbital contents preserved, (IIIb) orbital contents exenterated and type IV, orbitomaxillectomy which includes orbital contents but preserves the palate] (1) (Figure 1).

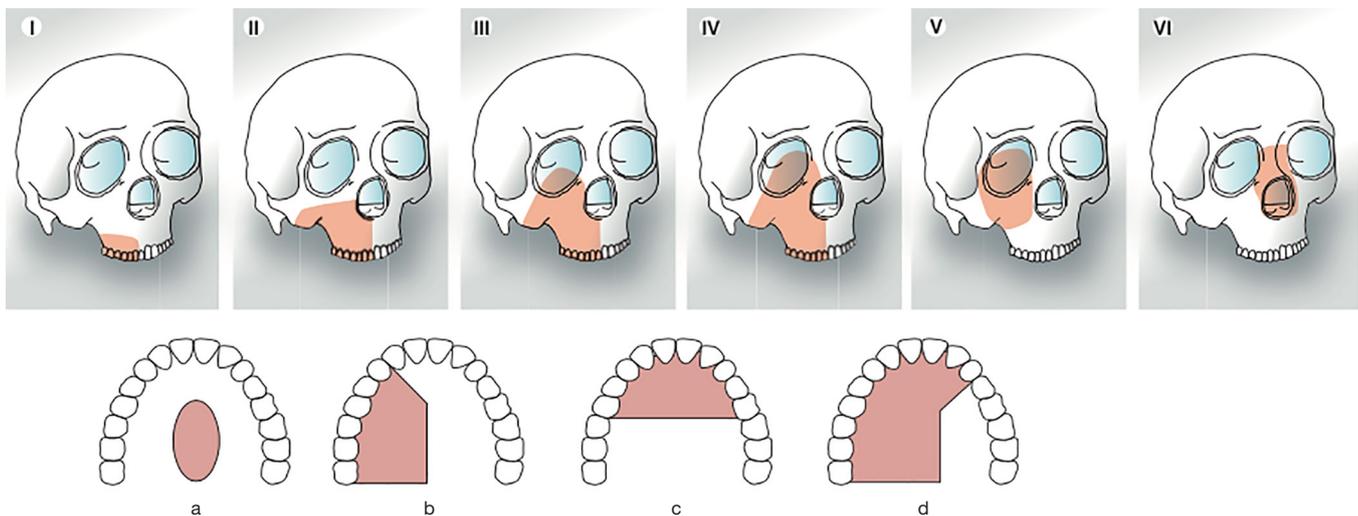


Figure 2 Modified classification scheme according to Brown *et al.* Reconstruction of the maxilla and midface (6).

Another common classification used, is the one described by Brown and Shaw, which defines the defect by its vertical and horizontal extensions. This system aims to guide the reconstruction focusing on the restoration of facial symmetry and projection (vertical component) and proper oral function (horizontal component). Vertical defects are described in classes I-IV and included from isolated palatal defects to orbital exenteration, whilst horizontal components (a, b or c) focus on the defects of palate and alveolar ridge involvement. Class V, orbitomaxillary and VI, nasomaxillary defects have been added in the last modification of this classification, and classify ablative defects where palate or maxillary alveolus are not involved (1,6,8) (Figure 2).

This classification system comprehensively includes the potential defects that can affect the different aspects of the midface and its widely used worldwide.

Okay *et al.* (9) described a different classification of midface defects focusing on the involvement of alveolar ridge and palatal component and the associated functional limitation.

This classification can be useful as a basis for dental prosthetic rehabilitation in maxillary reconstruction and focus on the involvement of tooth-bearing areas of the maxilla, affection of the premaxilla or a defect extending posterior to the canine (8). Okay's classification also aims to classify the vertical component (class f or z)—analyzing the involvement of the orbital rim or zygoma (1,9) (Figure 3).

Yamamoto *et al.* designed a reconstructive algorithm based on three classification scenarios which advocates the

use of free flaps predominantly for the reconstruction of maxillary buttresses (10).

Goals of reconstruction

It is essential for the head and neck surgeon when choosing a certain treatment option to assess the main aspects of midface rehabilitation. These goals include (1,3,6,8,11-17):

- ❖ Healed wound.
- ❖ Separation of the oral and nasal cavities, restoration of palatal competence.
- ❖ Support of the orbital contents and obliteration of the orbital cavity if exenteration is performed.
- ❖ Skull base repair.
- ❖ Recreation of functional dentition and restoration of facial contours by means of buttresses restoration and facial projection.

The achievement of these goals will depend on the reconstructive method chosen: prosthesis or obturator, locoregional flaps or free flaps.

The reconstructive ladder

As mentioned before, options for the reconstruction of midface defects include the use of prosthetic obturators, pedicled flaps and free flaps sometimes combined with grafts or alloplastic implants. Although the use of pedicle flaps has decreased lately because of limited arch of rotation, volume and possibility of osseous reconstruction; obturators remain a good solution for selected patients

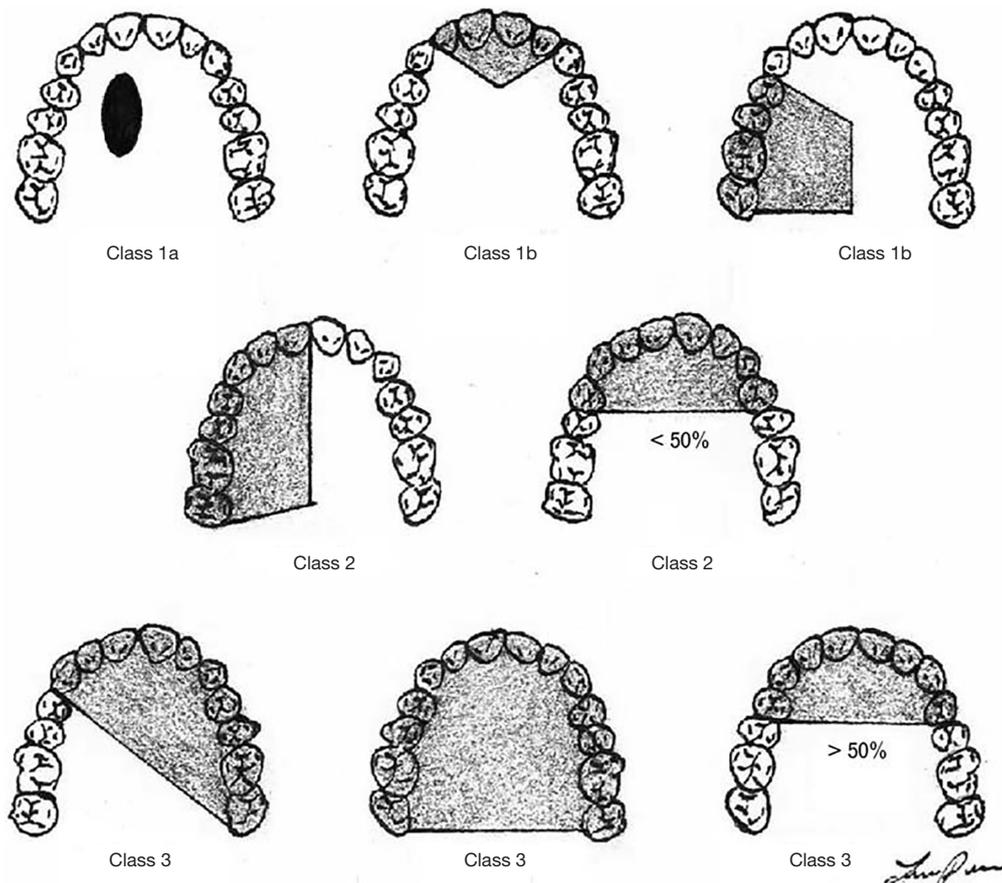


Figure 3 Maxillectomy classification scheme according to Okay *et al.*

with limited palatal defects or comorbidities among other factors (18). However, for extensive defects including the skull base, orbital floor, orbital contents or soft tissues of the face, obturators are not appropriate being microvascular surgery an excellent alternative for midface reconstruction.

With the incorporation of free flaps reconstruction becomes a feasible single stage procedure without limitations on tissue volume and orientation, vessel geometry and vascular pedicled length (19).

Many donor sites have been described in the literature including radial forearm, rectus abdominis, fibula, scapula, iliac crest and the anterolateral thigh system of free flaps (3). The election of the flap depends on the individual characteristics of the patient and the type of defect (volume of soft tissue, remnant bone and presence of dentition, donor site morbidity) that will guide the surgeon to choose the best reconstructive option for each individual patient (Tables 1 and 2).

Prosthetic obturators

Obturators can be a simple and functional solution when small defects of the maxilla need to be restored. Limited dimensions and lack of support in the surrounding tissues after tumor ablation constitute their main limitations. Oral function, chewing and swallowing, are preserved with these devices but free flaps have shown to be more efficient on these functions than obturators (20). Separation of both sino-nasal and oral cavities are addressed by obturators which reduces the nasal speech and food and liquid leakage.

Indications can be extended to different type of defects such as small palatal defects not involving the alveolar ridge and palatomaxillary defects posterior to the canine tooth. In our experience use of obturators are limited to (I) secondary reconstruction after free flap or pedicled flap failure; (II) depending on patient's comorbidities or prognosis factors; (III) possibility of recurrence that can preclude any type of autogenous reconstruction (21).

Table 1 Reconstruction options depending on the extend of the defect

Small/isolated palatal defects	Extensive palatomaxillary defects	Extensive maxillary defects not involving the palate
Local flaps (buccal fat pad, palatal, FAMM)	Locoregional pedicled flap (temporalis muscle or temporoparietal fascia flaps, submental)	Soft tissue with or without bone free flap
Prosthetic obturator	Soft tissue free flap	Soft tissue flap + bone grafts or alloplasts
Radial forearm free flap	Bony free flap	
	Prosthetic obturator if autogenous reconstruction is not feasible	

FAMM, facial artery miomucosal flap.

Table 2 Reconstruction options according to Brown's classification

Prosthetic obturator/local flaps/ temporalis muscle flap	Vascularized free flaps
Class I-IIa, b (Brown)	Class IIc/III-VI (Brown)

Local and vascularized free flaps

Tissue transfer has become the gold standard in midface reconstruction. The reports show better outcomes with surgical reconstruction when comparing with prosthesis in terms of function and esthetics, especially when large defects are involved. Selection of a specific flap either pedicled or as free tissue transfer depends on factors related to the recipient site such as the size of the defect and characteristics of tissues involved and factors related to the flap such as: length of the vascular pedicled; thickness of the skin, muscle and subcutaneous fat; the volume of the tissue available; the durability and thickness of the bone and the morbidity of the donor site. Microvascular anastomosis in reconstruction of the midface could require long pedicle flaps if the recipient vessels are located in the neck region (22,23).

Local flaps such as the buccal fat pad and palatal, facial artery miomucosal flap (FAMM) can be an option to repair minor postero-lateral defects of the upper maxilla. The temporalis pedicled flap can be indicated in palatal defects or posteroinferior maxillectomy, also in Brown's class IIb, IIc defects. If there is an oroantral or oronasal fistulae, the oral cavity should be sealed. The use of the pedicled temporalis muscle flap is a good option to provide adequate and permanent coverage of the defect (Figure 4).

Radial forearm free flap (RFF) is an alternative to restore continuity in deep central palatal defects (Brown's class I and IIa,b) as it can provide dual skin lining to both the nasal floor and the palate surfaces because of its great pliability

and with minimum bulk.

Fibula free flap (FFF) is indicated in Brown's class IIc, III, IV, V defects. This flap is often used in type II maxillary defects. It is a reliable and feasible flap. Moreover, it offers the possibility to complete a final restoration with dental implants. The pedicle has a favorable length, the bone stock ranges from 20 to 25 cm and allows for a two-team approach. It gives support to the nose and orbit avoiding collapse of the midfacial soft tissues. The pliable skin paddle can be used for either intraoral or cutaneous reconstruction. Implant supported dental prosthesis can be placed, so masticatory function and lip support are reestablished (6). This flap shows to be extremely versatile for restoring not only the alveolar ridge and framework of the midface but also giving support to the orbital contents by reproducing the orbital rim and allowing the settlement of titanium mesh when the orbital floor needs to be removed.

Orientation of the skin paddle and the requirement of osteotomies with deep angles between the bone fragments can be very challenging when using this flap. The size of the skin paddle might be a limitation when extended resections of palatal mucosa and skin are performed and need to be restore with the flap skin island. Thus, according to some authors, this flap might not represent an adequate reconstruction option for class III and IV defects (Figure 5).

Iliac crest free flap (ICFF) can be used in Brown's class II, IIb, c, d, IV defects. Based on the deep circumflex iliac artery (DCIA) vascular pedicle. This flap has enormous advantages when used for midfacial reconstruction. Specifically, it offers a great amount of bone that could be remodeled to reconstruct the orbital rim and restore facial harmony. It provides enough bone for implant placement and dental rehabilitation. The amount of oblique interne muscle varies depending on the dead space and the resulting

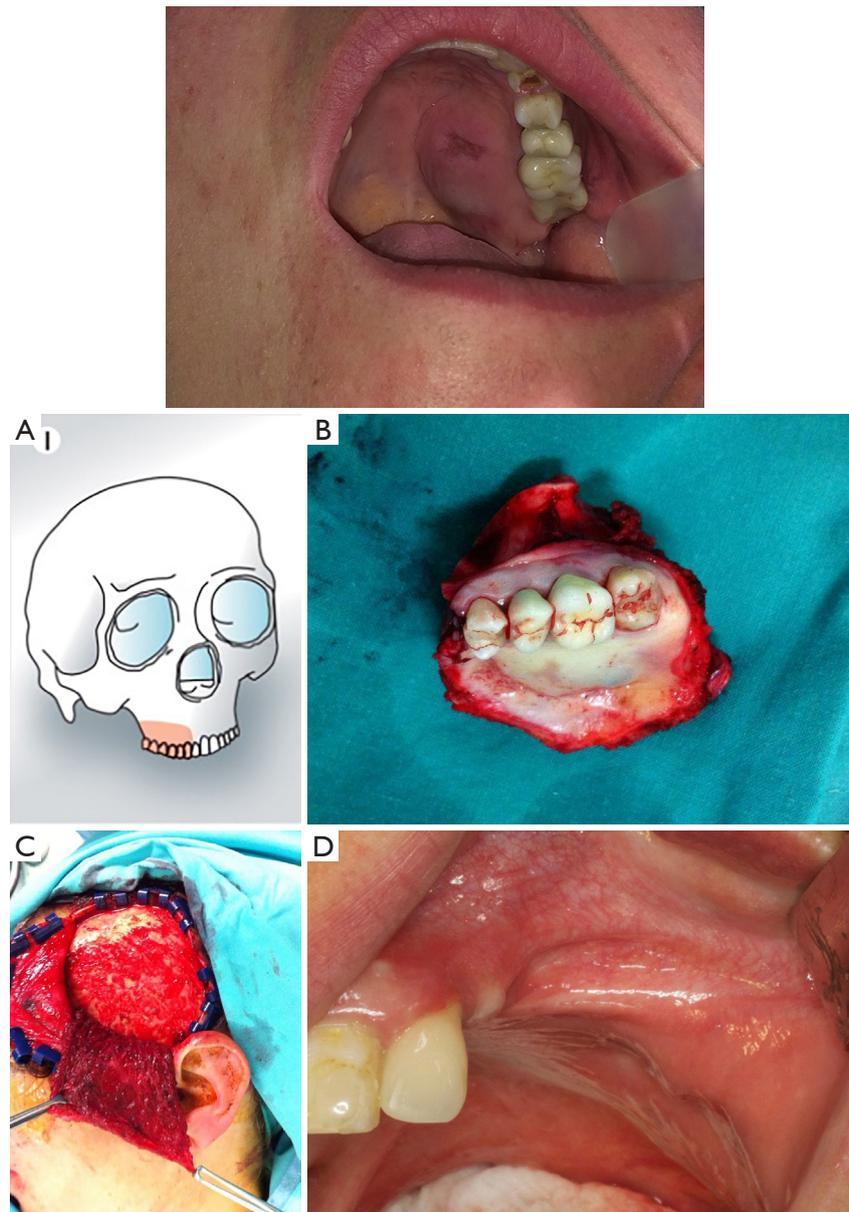
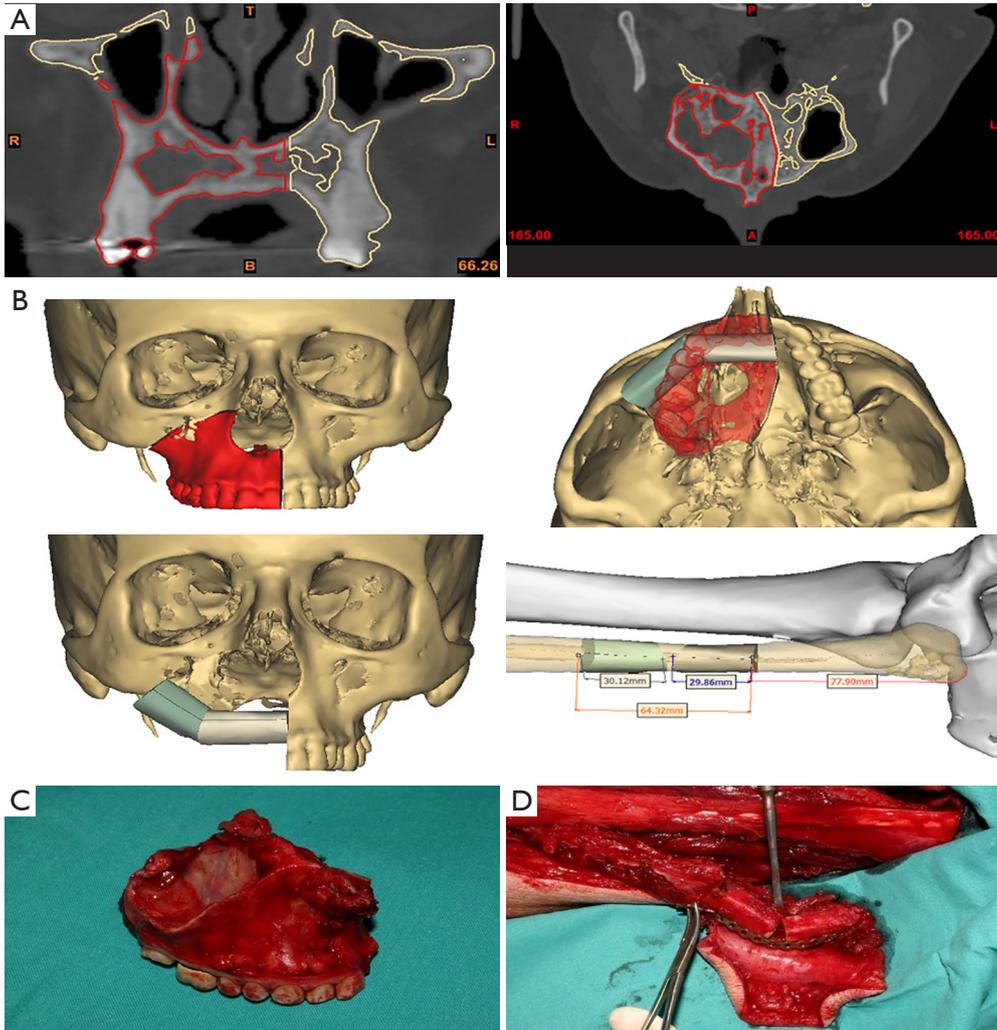


Figure 4 Adenocarcinoma of the maxilla in a 49-year-old female patient. (A) Brown's classification of the defect; (B) surgical specimen; (C) raising of temporalis muscle pedicle flap; (D) postoperative results after 6 months of follow up.

oral fistulae and palatal defect after tumor removal. The orbital contents if exenterated must be taken into account when addressing the volume of the muscle harvested. The only disadvantage found when using the ICF is the limited length of the vascular pedicle that can difficult the inset of the flap (*Figure 6*).

Scapula osteocutaneous flap (SOCFF): flaps based on the subscapular artery system offer great advantages for

complex midfacial reconstruction. Mostly useful in Brown's class III–IV defects, the soft tissue component of this flap can be rotated around the bone stock with great freedom. It is particularly helpful in defects involving both orbital floor/zygoma and palate. The inclusion of the angular branch of the toracodorsal vessel allows the possibility to harvest the tip and the lateral border of the scapula simultaneously (1,24,25). This flap is extremely useful for



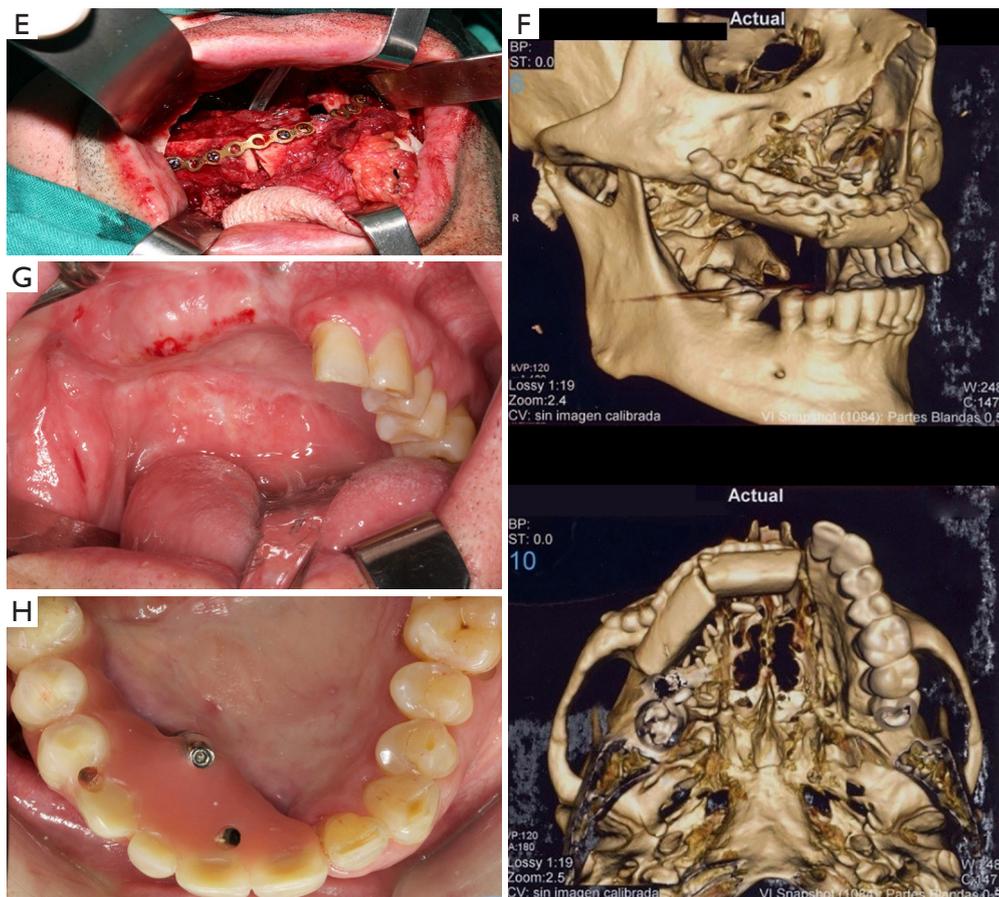


Figure 5 Adenoid cystic carcinoma of the maxilla in a 53-year-old male patient. (A) Superimposition of the CT scan showing the osseous structures and the tumor (planned resection in red); (B) 3D virtual surgical planning of the resection and fibula flap reconstruction; (C) surgical specimen; (D) surgical cutting guides to rise an osteo-cutaneous fibula free flap; (E) inseting of the flap and fixation with titanium plates and screws; (F) postoperative CT control scan; (G) intraoral postoperative result; (H) final result with dental implant supported prosthesis.

reconstructing total maxillectomy defects associated with orbital exenteration. In this sense, the bone can be used for replacing the palate and the infraorbital area, the muscular component (latissimus dorsi or anterior serratus) is usually used for oral reconstruction and the skin component allows the reconstruction of large defects of the face, cheek and palate. The main disadvantages are the difficulty to place osseointegrated implants por dental restoration for its little bone thickness and the difficulty of working with two teams at the same time being more time consuming. Furthermore, the length of the pedicle can be short, and the bone can be hard to orientate in the three-dimensional aspect of the defect (*Figure 7*).

Soft tissue free flaps like the anterolateral thigh flap, the latissimus dorsi flap and the rectus abdominus provide

adequate bulk and volume, seal dead spaces within the defect and have many characteristics as versatility, reshaping of facial contour and palatal closure. However, the reconstruction of the bone structures is not possible by using these flaps (13). They are indicated especially in Brown's class V defects, were the palate and alveolar ridge remain intact and bone is generally not required (1). The main objectives when using soft tissue flaps in midface defects of these characteristics consist in separating the cranial base of the sinonasal tract and facial structures, support the repaired dura and prevent cerebrospinal fluid leakage, obliterate the orbital defect and provide sufficient depth for an orbital prosthesis. Furthermore, the mentioned flaps offer a long pedicle highly suitable for skull base and midfacial reconstruction (*Figure 8*).

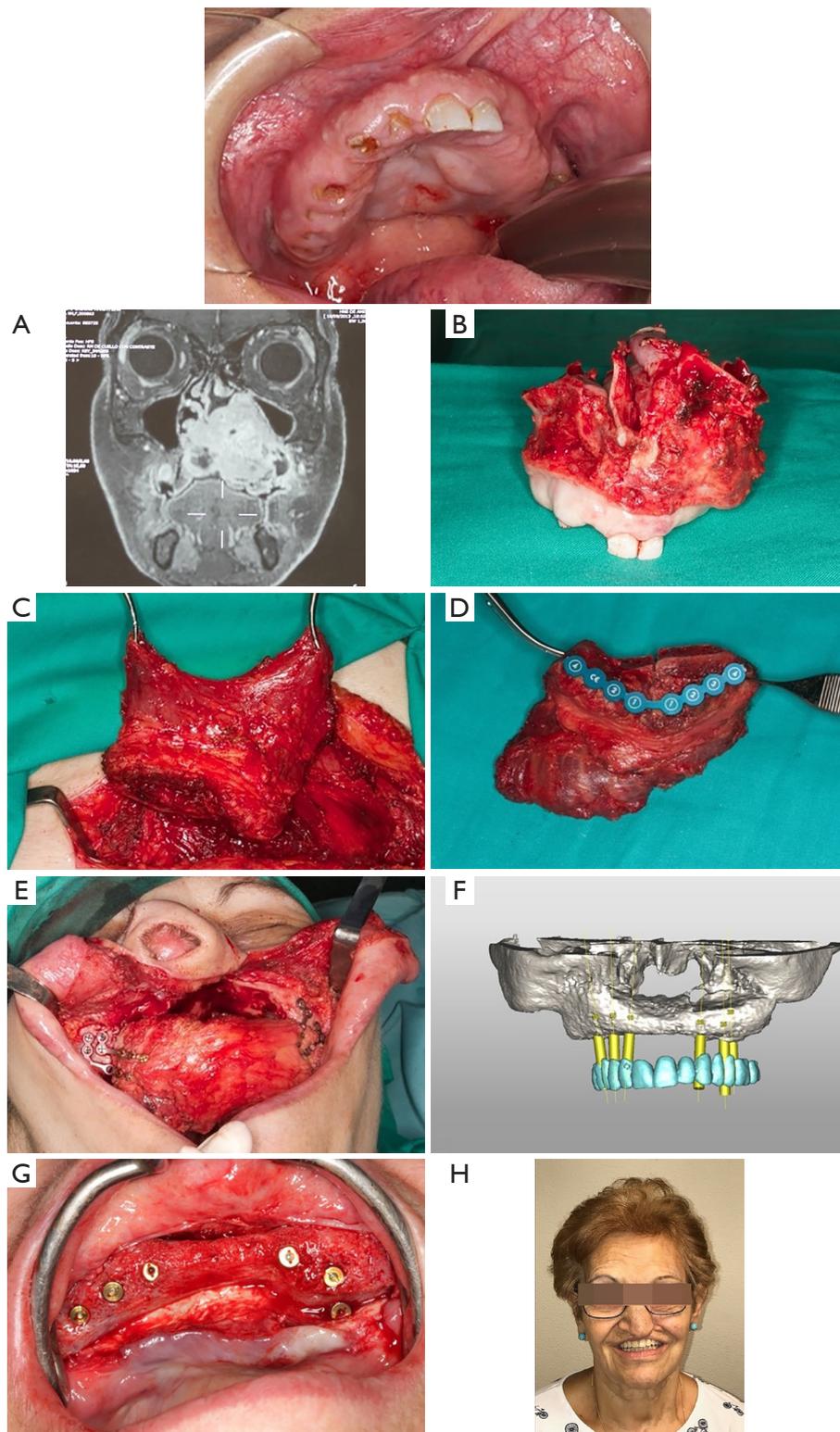


Figure 6 Adenoid cystic carcinoma of the maxilla in a 72-year-old female patient. intraoral examination. (A) MRI; (B) surgical specimen; (C) iliac crest free flap including the internal oblique muscle; (D) modeling of the bone flap. Osteotomy of the iliac crest bone; (E) inseting of the flap after tumor ablation; (F) dental implant 3D planning; (G) dental implant placement; (H) final facial aspect.

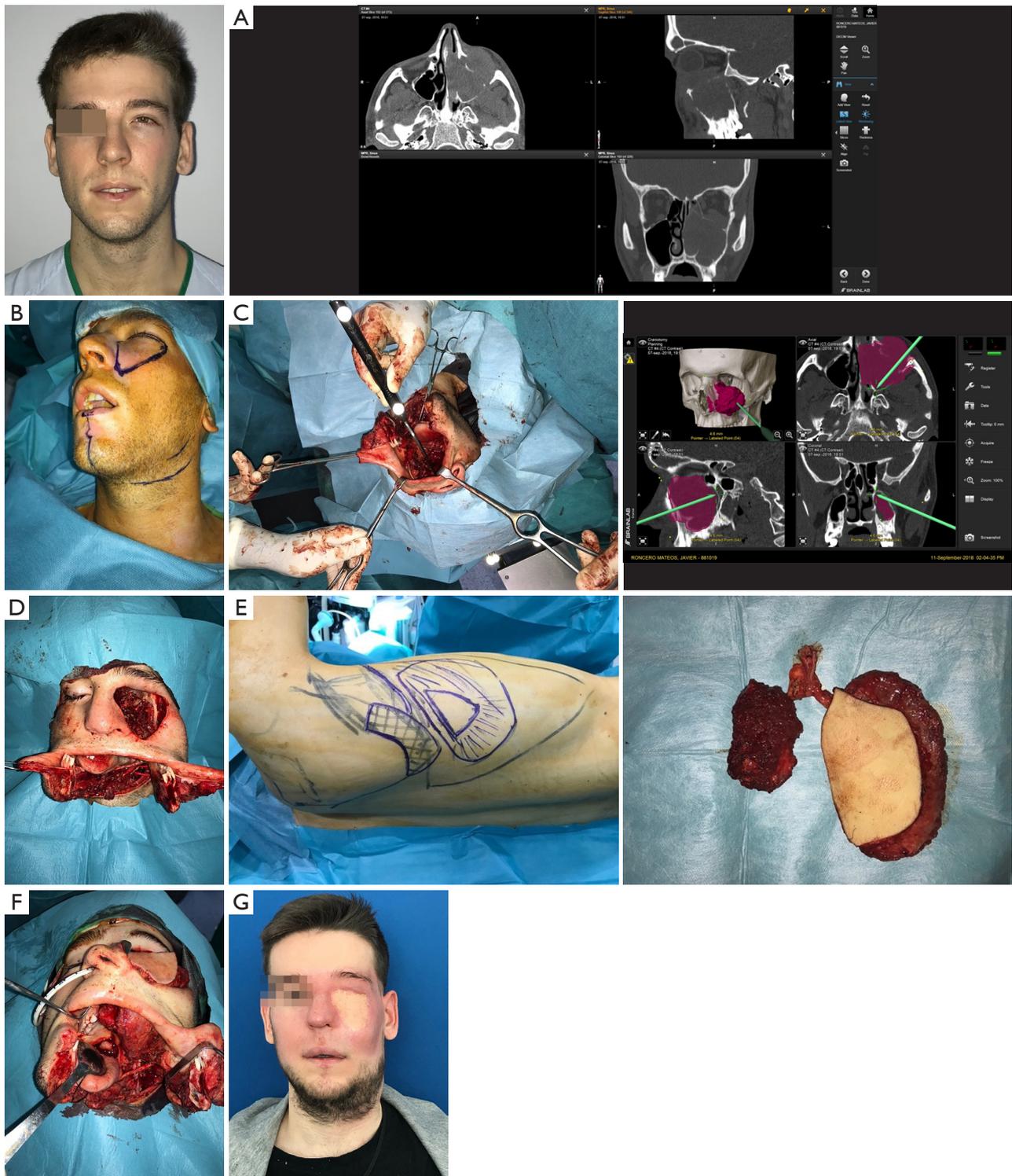


Figure 7 Rhabdomyosarcoma involving the orbitomaxillary region in a 23-year-old male patient. (A) CT showing the lesion; (B) combined transmandibular/transfacial approach; (C) intraoperative navigation of the tumor resection; (D) orbitomaxillary defect after tumor ablation; (E) dorso-scapular free flap. Chimeric flap including the latissimus dorsi and the tip of the scapula; (F) inseting of the flap and vascular anastomosis; (G) postoperative result after 3-month of follow-up.

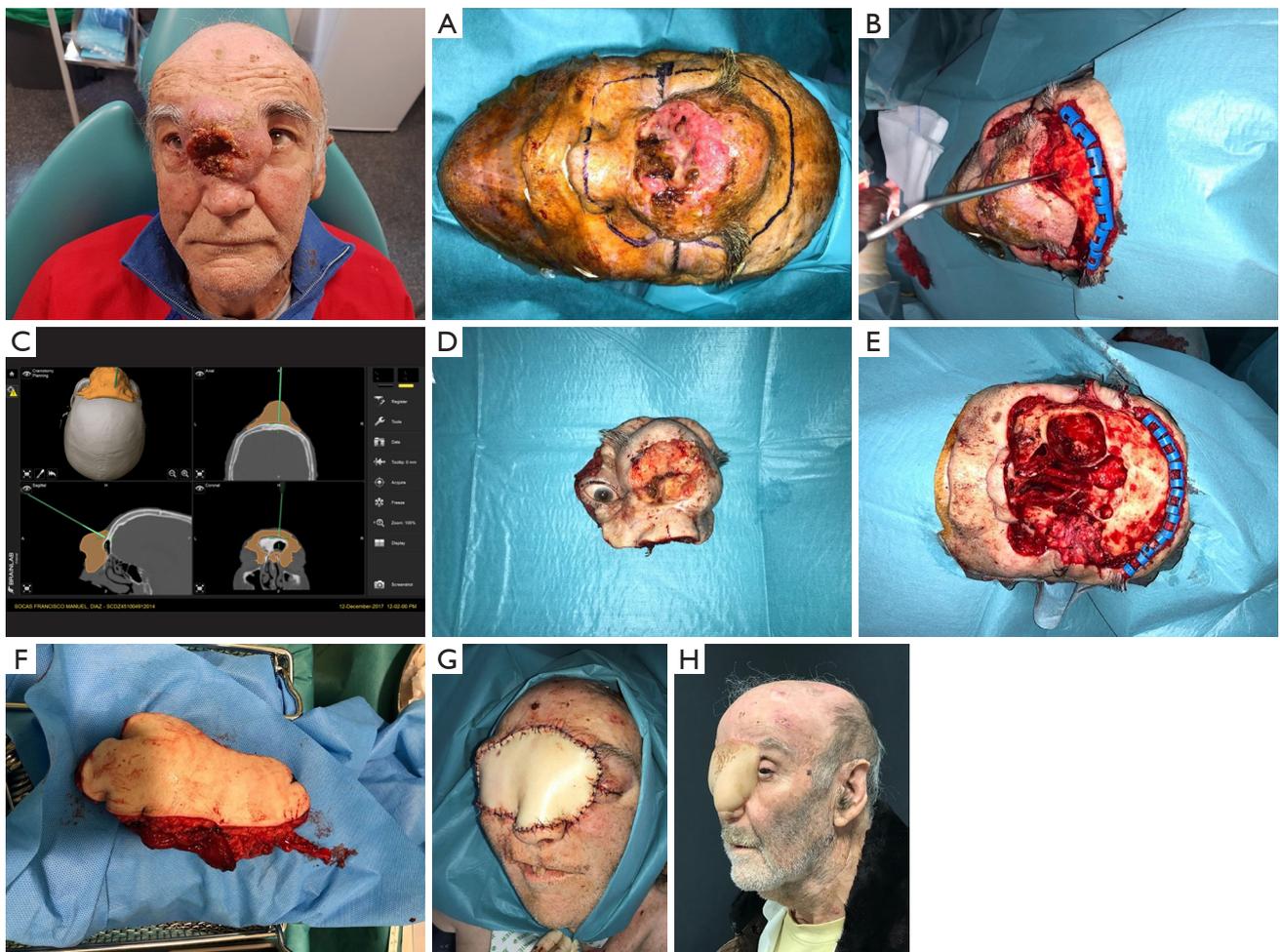


Figure 8 Cutaneous epidermoid carcinoma affecting the naso-orbito-ethmoidal region in a 73-year-old male patient. (A) Surgical resection design; (B) bicornal approach; (C) intraoperative navigation of the surgical margins; (D) surgical specimen comprising the right orbit and centrofacial region; (E) midface defect; (F) reconstruction with a latissimus dorsi flap; (G) final result after inset of the microvascular flap; (H) late postoperative result.

Virtual surgical planning and computer-aided-design/computer-aided-modeling (CAD/CAM) technology

Over the past years, the evolution of microsurgical techniques and its implementation in reconstructive surgery, especially in the midface that is the main issue in this paper, has been favoured by advances in computer software and 3D manufacturing. Occasionally, the defects turn to be technically difficult to reconstruct so are the inset of the flaps especially those with bony segments, so the implementation of virtual surgery and manufactured specific guides facilitate the execution of the surgery and achieve more accurate results. Virtual reconstruction may be performed, margins

of resection, design and setting of flaps can be planned prior to surgery and surgical guides may be manufactured with 3D printing machines or virtually thus it will help during the procedure and will shorten the surgical time.

Virtual surgical planning is becoming a standardized tool in the field of reconstructive surgery due to its many benefits which include increased accuracy, improved operative efficiency and enhanced outcomes. This technology is useful in craniofacial reconstruction that ranges from trauma to oncological defects among others (7,11,26,27).

Surgical accuracy is required to restore facial symmetry, appearance and function; however, this task is complicated because of the complexity of this anatomical construct that

encompasses the maxilla and other midfacial structures like the orbit, palate and paranasal sinuses.

CAD and CAM have been refined in the past years to be an easily and useful technology for preoperative planning and execution of surgery (11), and its implementation includes the following benefits: (I) shortens the length of operating time, (II) reduces flap ischemia time, (III) increases the bone-to-bone contact between osseous flaps and the remnant bone, (IV) improves dental alignment and aesthetic contour, (V) reduces complication rates and (VI) increases reconstruction accuracy, in comparison to traditional oncologic and reconstructive surgery whose final outcomes relied mostly on the surgeon's experience. Virtual surgical planning and CAD/CAM technology requires a multi-stage approach that can enlarge the process globally, so timing must be considered when the treatment plan is being designed.

Computer-assisted surgery is based on four specific phases that must be accomplished in order to achieve predictable and planned outcomes: (I) planning, (II) modeling, (III) surgery, (IV) evaluation.

(I) Planning: a high resolution CT scan with thin cuts and 3D reconstruction is made of the craniofacial skeleton and the donor site, e.g., lower limb—fibula free flap. The images are forwarded to the modeling company.

A videoconference then, is made between the surgical team and the bioengineers. Resection and reconstruction are virtually planned, taking into account factors such as resection margins, osteotomies performed in the donor bone and placement of the vascularized free flap in the surgical defect.

(II) Model manufacture: 3D printed models are manufactured of the craniomaxillofacial area of interest along with specific cutting guides for both the tumor resection and the donor site where the flap is going to be raised for reconstruction. It also allows for manufacturing individualized reconstruction plates or plate bending templates depending on the surgeon's preferences.

(III) Surgery: the models, cutting guides and implants previously described are used during surgery. In this phase, osteotomies in the maxilla or mandible are made based on the resection guides for tumor ablation and then the osseous flap is harvested, cut and osteotomies are made *in situ* with the cutting guides attached and the reconstruction plate is fixed before devascularization of the flap.

(IV) Evaluation: control after surgery must be made by means of clinical examination and postoperative CT scan in order to check if goals of treatment planning have been

accomplished. Restoration of function and aesthetics are evaluated and QoL questionnaires are given to the patient (Figure 5A,B,F).

In difficult cases, intraoperative navigation helps in the implementation of procedure plans at the site of surgery. Intraoperative 3D imaging allows an intraoperative final control to be made and the outcome of the surgery to be validated (28). In terms of midfacial reconstruction, intraoperative navigation allows assessing surgical margins in midfacial resection within the orbit (floor, medial wall and posterior margin), cranial base structures or the palatal aspect of the resection among others. It also controls orbital volume and globe position to prevent orbital asymmetry that can lead to hypoglobus and enophthalmos, diplopia and when it affects the lower eyelid, ectropion, epiphora, globe exposure and visual complications (29).

Furthermore, it helps to avoid malpositioning of bone fragments and inserted implants when soft tissue or bony grafts have to be used for reconstruction purposes (Figures 7A,C,8B,C).

Conclusions

Midface reconstruction is a challenging field because of the importance of this anatomical region functionally and aesthetically in the patient's life and health. Three-dimensional comprehension of the midface must be considered among other factors in order to assess the treatment goals planned before selecting the best surgical procedure. The advantages of microvascular surgery involve a single stage procedure, most of the time with a two-team approach, that allows restoring bone and soft tissue loss which seals the oral cavity, rebuilds the midfacial skeleton providing support for the overlying tissues, allows for dental rehabilitation and reestablishes facial contour maintaining patient's profile and QoL.

Over the past years, the technological innovations such as computer-assisted surgery have contributed to improve safety and accuracy of midfacial reconstruction. Virtual planning of resection and later reconstruction, computer-assisted design and manufacture (CAD-CAM) surgical guides and navigation-assisted surgery are showing to be useful techniques in reconstruction. The new digital technologies will contribute to improve results in this field.

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